

Regulatory Position Note Template

Pairs with: Regulatory clarity is a commercial asset

Explain the regulatory position of the product in plain English.

Founder / team	Account / market / topic	Date
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When to use it

Use when buyers, partners, investors, or advisers need to understand what is safe to do.

What this worksheet covers

What the product does	What it does not do
Relevant permissions	Assumptions
Advice received	Open questions

Questions to answer before you start

What does the product do in plain English?

What does it not do or claim?

Which permissions or obligations may apply?

What advice has been received?

What remains open?

Regulatory position note

Section	Draft wording
Product summary	
What the product does	
What the product does not do	
Customer role	
Data role	
Relevant permissions	
Regulatory assumptions	
Advice received	
Open questions	
Buyer-safe explanation	

Market difference check

Market	Assumption	Advice needed	Owner
Market 1	 	 	
Market 2	 	 	
Market 3	 	 	

Risks, gaps, and evidence needed

Risk or gap	Why it matters	Evidence or action needed	Owner/date
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Decision card

What this now tells me

Decision

Choose one.

- Continue
- Adapt
- Pause
- Exit

Next action

Owner

Date to review
